

Top Red departmental risks - detailed report EXCLUDING COMPLETED ACTIONS

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Rows are sorted by Risk Score

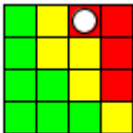
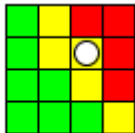

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
BBC Ex Halls 003 Exhibition Halls Compliance and Condition Risk Resulting from Bow-Wave	<p>Cause: Compliance and condition issues as indicated in various surveys including for LFS, insurance inspections, fire safety inspections and QS and condition survey inspections, which have identified a bow wave in the Exhibition Halls following around 15 years of reduced investment whilst the strategy for the property has been evolved.</p> <p>Event: Major incident including fire, loss of life, or loss of use of property resulting from the bow-wave and compliance issues.</p> <p>Impact: Loss of property, life and damage to brand. Financial and reputational damage.</p>	<p>Likelihood</p> <p>Impact</p>	24	<p>Exhibition Halls redevelopment forms a crucial part of the Beech Street redevelopment. The overhead waterproofing works plus the Culture Mile strategy offer a timely opportunity to address the bow wave issue in the Exhibition Halls 1 and 2.</p> <p>We have reports which indicate the condition of the property and what needs to be done to bring it back to a compliant state. The work needs to be done regardless of how and if the Exhibition Halls are redeveloped.</p> <p>The overhead waterproofing works are due to commence in the spring of 2020 and it is anticipated that the work above the Ex Halls will be</p>	<p>Likelihood</p> <p>Impact</p>	8	31-Mar-2021	

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11-Feb-2019 Jonathon Poyner				completed by the spring of 2021. Therefore, the period spring 2020 to spring 2021 will provide the ideal opportunity to address the bow wave issues which are an estimated £5M to ensure compliance and bow wave points are addressed. 12 Jun 2019				Constant
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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
BBC Ex Halls 003a	Liaison with Beech Street Board and overhead works for suitable timeline.	Liaison with Beech Street Board and overhead works for suitable timeline.	Jonathon Poyner	12-Jun-2019	31-Aug-2019
BBC Ex Halls 003b	Gateway process to address the bow wave issues under the "do nothing" option for the Ex Halls, an estimated £5M.	Gateway process to address the bow-wave issues under the "do nothing" option for the Ex Halls, an estimated £5M.	Jonathon Poyner	12-Jun-2019	29-Feb-2020
BBC Ex Halls 003c	Carry out any local works to address high risk operational issues and ensure that local mitigations are in place pending the investment of ca £5M for the "do nothing" option as noted above.	Carry out any local works to address high risk operational issues and ensure that local mitigations are in place pending the investment of ca £5M for the "do nothing" option as noted above.	Jonathon Poyner	12-Jun-2019	29-Feb-2020
BBC Ex Halls 003d	Reduce the use of the Ex Halls in preparation of carrying out the works and so as to further reduce the likelihood and impact.	Reduce the use of the Ex Halls in preparation of carrying out the works and so as to further reduce the likelihood and impact.	Jonathon Poyner	12-Jun-2019	31-Aug-2019

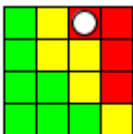
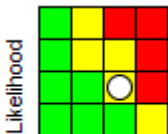

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BBC Buildgs 006 Ex Halls Electrical Condition	<p>Cause: Poor condition of HV (High Voltage) and Low Voltage switchgear and associated equipment.</p> <p>Event:: Loss of electrical services. Failure of electrical safety equipment. Contaminated oil with banned substances.</p> <p>Impact: Closure of one or both Ex Halls and Cinemas 2 and 3, financial, operational and reputational impact. Fire risk. Risk to health.</p>	<p>Likelihood</p>  <p>Impact</p>	16	<p>A recent inspection highlighted several inadequacies in the electrical infrastructure. The oil in the HV transformers are contaminated with BCPs (Bi-chlorophenyls). These are banned substances that must be registered with the environmental agency and managed within set guidelines. The only way to remove them is by changing the oil, ca. £50k, or to replace the transformers, (ca. £70 - 80k each). The transformers are 40 years old (expected lifecycle 25 years).</p> <p>The battery units for the back up chargers have been replaced as an emergency due to the risk of fire The general condition of the HV switchgear, LV switchgear, buzz bars and electrical infrastructure is poor, partially due to the age of the equipment, and would not meet today's safety standards.</p> <p>Initial works took place in April 2019 and reduced the fire risk. The funding required to ensure reliability of electrical infrastructure is significant and will be part of the £4.8m Bow Wave funding that has been requested with the City Surveyor to be decided on by CASC.</p> <p>The environmental agency has increased the cost for registration of BCPs considerably to encourage early</p>	<p>Likelihood</p>  <p>Impact</p>	12	01-Apr-2020	

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08-Feb-2019 Jonathon Poyner				rather than late replacement or removal. 25 Jun 2019				Constant
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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
BBC Builds 006a	Specified repairs to HV and LV systems and replacement of 2 no chargers.	Chargers have been replaced. In the long term, the likelihood of further reducing the risk will require major investment to replace or upgrade electrical plant as per Ex Halls bow wave.	Jonathon Poyner	12-Jun-2019	01-Apr-2020

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BBC Builds 007 High Risk Hazardous Work Areas 08-Feb-2019 Jonathon Poyner	Cause: Lack of suitable access equipment and rescue facilities. Event: Person entering dangerous or confined spaces with current infrastructure or not entering to avoid risk of injury/death. Impact: Injury or death. Inability to retrieve injured persons. Failure to carry out repairs to critical services. H & S, financial, reputational, operational risk.	 Likelihood Impact	16	All non-management staff have completed external training on working in confined spaces. training for management team is partially complete and las members due to attend in early August. Brief tour of issues given to CoL's Health and Safety Manager and Centre is currently working on a committee paper to seek approval to carry out building alteration adaption and to obtain appropriate funding. 21 Jun 2019	 Likelihood Impact	8	31-Dec-2021	 Constant

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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
BBC Builds 007a	Specialist consultant required to review all qualified spaces to categorise risks, priorities (services contained), requirements and costs.	Gateway 1 to be presented to appropriate committees. Funding required to progress project.	Jonathon Poyner	12-Jun-2019	31-Oct-2019
BBC Builds 007b	This is for Members to decide how we progress the project (phasing and priorities).	This is for Members to decide how we progress the project (phasing and priorities).	Jonathon Poyner	12-Jun-2019	31-Jan-2020
BBC Builds 007c	Committee Chief Officer approval and contractor carries out construction work etc.	This is the actual work to make the areas accessible and safe to work in.	Jonathon Poyner	12-Jun-2019	31-Dec-2021

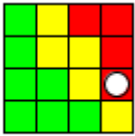
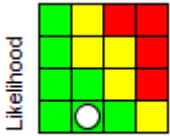

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BBC Commerec 003 Brexit Impact of Brexit on the Movement of Talent, Technical and Production Staff and Temporary Movement of Cultural Goods	<p>Cause: The outcome of Brexit negotiations impacts the ability of EU nationals to live/work in the UK and for cultural goods to move across borders.</p> <p>Event: The Barbican Centre is unprepared for the loss (or partial loss) of access to the best talent and specialist artists, performers, technical staff and cultural goods from the EU to produce a varied and attractive cultural programme.</p> <p>Effect:</p> <ol style="list-style-type: none"> 1.The cultural programme offered is not as varied and attractive as it would otherwise have been. 2.Customer expectations are not met with resultant reductions in revenues for both the Barbican Centre and catering contractors. 3.The reputation of the Barbican Centre (and the Culture Mile) as a premier cultural location is adversely affected. 4. Additional costs incurred to produce the Centre's cultural programme. 5.Less attractive for EU based artists. 6. Reduction in income streams. 7. Reduced footfall. 	 <p>Likelihood</p> <p>Impact</p>	16	As summarised in our report to the Brexit Committee chaired by the Town Clerk, we have continued to monitor and mitigate as appropriate. We continue to work with the City including the City Surveyor and Procurement on the operational risks and we have conducted our own internal BCP sessions as regards mitigating operational risks locally. Our move towards ever closer integration with the City over the past couple of years has meant that many of our important contracts are corporate contracts and the City Surveyor and City Procurement have been working with us over the years to ensure any new contracts have built in redundancy and mitigate risks including Brexit. The Arts Team continue to work with touring companies etc. and a separate	 <p>Likelihood</p> <p>Impact</p>	12	31-Oct-2019	

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<p>12-Dec-2018 Nicholas Kenyon</p>	<p>8. Procurement and contractor issues.</p>			<p>paper is provided to the City's Brexit Risk Committee as this risk remains very live for the City and departments. Government are aware of potential issues, including for example people, and this has been fed in as required by the various bodies including sector and City. We continue to monitor closely and continue to work on mitigations.</p> <p>Event Cancellation Comms plan has been agreed with the City Communications team.</p> <p>We continue to liaise with the City Surveyor's team who have been looking at continuity planning and likely contracts of supply. We have been working with Procurement and the City Surveyor's team to ensure we minimise risk in existing or new contracts. We have conducted our own internal BCP sessions to look at operational issues and we continue to work on comms plans and contractual issues for the arts side.</p> <p>We will rerun risk assessment of events in our programme in the coming months to reflect new Brexit deadline.</p> <p>12 Jun 2019</p>				<p>Constant</p>
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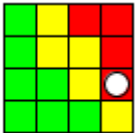
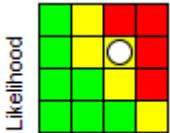

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BBC Commerc 003a	Ensure Brexit related press and Government actions are monitored.	Continued Monitoring.	Sandeep Dwesar	12-Jun-2019	31-Oct-2019
BBC Commerc 003b	Ensure potential risks and mitigations are identified and implemented	Teams are monitoring their own risk assessments and in particular the Arts and Commercial areas, which are then fed into Management for oversight. These are live documents and we are monitoring the evolving situation. The Brexit Risk Assessment document, (mentioned above), managed by Nick Adams, reporting to the Directorate, includes risks which the Directorate and teams deem necessary to record in support of the Pentana risk entry.	Sandeep Dwesar	12-Jun-2019	31-Oct-2019
BBC Commerc 003c	Ensure business plan includes flexibility and allows for Brexit modelling	We respond to enquiries from EU based partners on a case by case basis. Ongoing discussion with City Solicitors. Ongoing conversations with Chamberlain's with regards to the business model. Ongoing discussions with City Surveyor and Procurement as regards City centralised contracts and supply.	Sandeep Dwesar	12-Jun-2019	31-Oct-2019
BBC Commerc 003d	Ensure that suitable comms plans are in place.	Teams continue to work with Comms and City as appropriate to ensure a consistent message is put out as and when required. Management and teams working closely to ensure team risk assessments are live and the implications understood by management. Event Cancellation Comms plan has been agreed with the City Communications team.	Sandeep Dwesar	12-Jun-2019	31-Oct-2019

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BBC H&S 005 Failure to Deliver the Fire-Related Projects 06-Jul-2018	Cause: Inability to deliver the fire related projects in a timely and prioritised manner, resulting from insufficient resource, inability to get to access the areas or issues due to Listed Building Regulations, planning, etc. Event: Failure to deliver the fire related projects Impact: Delays to the projects would bring continued risk and need to maintain the current mitigations, incurring costs. Also increased risk of fire spreading more quickly or further	 Likelihood	16	Works commence on site with appropriately qualified consultants within the next month. We continue to work with our colleagues at the City to find appropriately qualified consultants and workable solutions. The delivery of the works will be phased so that we can commence as soon as the consultants submit their report and recommendations for each zone of the building. 12 Jun 2019	 Likelihood	2	01-Sep-2021	

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Jonathon Poyner							Constant
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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
BBC H&S 005a	Project planning and control during life of the projects to ensure fire safety while maintaining business continuity.	All projects updated at FSMG where discussion on maintaining fire safety throughout the projects are discussed. All projects will be appropriately resourced thus not pulling on the current resource at the Barbican.	Jonathon Poyner	12-Jun-2019	01-Sep-2021
BBC H&S 005b	The projects comply with current and respond to subsequent legislative changes during the lifetime of the projects; ensuring the contractors have engaged suitable consultants for the projects.	Procurement have assisted with contractor suitability supported by our CoL colleagues. The contracts are currently out to tender.	Jonathon Poyner	12-Jun-2019	01-Sep-2021
BBC H&S 005c	Projects being delivered within the agreed time frames and agreed budgets.	Current status is waiting for the return of submissions from contractors for the project work that is out to tender. The current funds have been secured following a peer review.	Jonathon Poyner	12-Jun-2019	01-Sep-2021
BBC H&S 005d	Select the appropriate contractors in line with CoL control of contractors' guidance who have developed robust business continuity plans following Brexit.	Correct level of qualified consultants are now approved. Risk of availability remains.	Jonathon Poyner	12-Jun-2019	01-Sep-2021
BBC H&S 005e	Delivering CIP following the outputs from FRAs and delivering the changes required to ensure PPMs.	Work continues in populating our PPMs. Currently sourcing a H&S software system to assist in our PPM programming. Currently discussing shared software with CoL colleagues.	Jonathon Poyner	12-Jun-2019	01-Sep-2021

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CLF-012 Freemen's Estate Development Plan	Cause(s): If the City committee programme reports are not supported by the appropriate committees. Event: the City Corporation approval for loan funding will not meet project timescales. Effect: resulting in key objectives / activities not being delivered on time and reputational damage to the School.	 Likelihood Impact	16	After support was received for the School by members at the special meeting of the Resource Allocation Sub Committee and the Policy and Resources Committee in early April, the work on the Main House has been approved. Although their may still be challenges brought up as part of the Fundamental Review which could have an impact on the rest of the	 Likelihood Impact	12	31-Mar-2020	

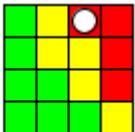

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15-Nov-2016 Roland Martin				<p>FEDP and cause increase risk, at present, the School is not as open to as much risk as it has been in recent months.</p> <p>Staffing issues in Surveyors have had a negative impact on the proposed start of Main House works owing to late tender process. Impact yet to be reviewed but the current projection of three week delay could be manageable.</p> <p>27 Jun 2019</p>				Constant
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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
CLF-012A	Work with Chamberlain and Surveyor's Departments to develop and implement plan. Drive fundraising initiatives to ensure plan is achievable.	Action reviewed no change	Roland Martin	27-Jun-2019	31-Mar-2020

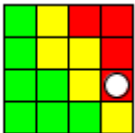


Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
GSMD SUS 004 Failure to mitigate against a potential fall in EU student	The Vice Principal and Director of Music has prepared a Brexit mitigation strategy for the Music department, which is more exposed to a potential drop in recruitment from the EU than the Drama or Production Arts departments and accounts 75% of total student enrolment at the School. The plan includes: seeking to identify		16	Associated risks have been updated accordingly		8	31-Dec-2019	

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numbers as a result of Brexit 03-Dec-2018 Jo Hutchinson; Jeremy Newton	potential alternative loan providers should EU students lose access to the Student Loan Company; offering targeted fee waivers to EU students to counter the effect of higher fees; developing a new suite of short courses and foundation courses that would enable more Home students who were unsuccessful at their first audition to attend the School; reaching out to new markets beyond the EU from where the School has not historically recruited in significant numbers; and introducing remote teaching.	 Likelihood Impact	05 Jun 2019	 Likelihood Impact	Constant
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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
GSMD SUS 004a	Develop a marketing strategy to deliver a significant increase in quality applications by students from outside the EU	We have now recruited the Senior International Relations Manager who will start in August 2019, and will develop the international strategy. Applications open for entry 2020 in July, so work is now underway between Marketing and programme leaders to produce marketing plans aimed at both UK and international students.	Jo Hutchinson	05-Jun-2019	31-Dec-2019
GSMD SUS 004b	Carry out targeted fundraising to raise new scholarship funds dedicated to EU students, and review strategic use of existing scholarship funds	Funds raised for 2019/20 academic year are 20% up on 18/19, raising the progress measure to 75%	Jeremy Newton	05-Jun-2019	31-Dec-2019
GSMD SUS 004c	Develop and introduce a range of short course and foundation courses to 'skill up' additional Home students who would otherwise be unable to attend the School	No further updates to report at this stage.	Jonathan Vaughan	28-Mar-2019	31-Dec-2019
GSMD SUS 004d	Develop a framework for the delivery of remote learning	No further updates to report at this stage.	Jonathan Vaughan	28-Mar-2019	31-Dec-2019
GSMD SUS 004e	Develop a more extensive strategy for Guildhall Profs to engage in overseas workshops and masterclasses – specifically targeting North America and Commonwealth Countries - a process that has a historic proven track record in generating significant increases in OS applications and enrolments	Developing a plan for a summer recruitment drive in Australia, based around an invitation to the ANAM String Quartet Festival.	Jonathan Vaughan	28-Mar-2019	31-Dec-2019

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MAN CCC 002 Working at Height 28-Sep-2018 Vic Annells	Cause: Lack of effective management process and procedures for internal work at height including competency, supervision/management and protective systems Event: Fall of persons and/or objects from height Effect: Death or major life changing injuries, Enforcement action leading to prosecution and fines, financial losses, PI claim, Adverse effect on CoL/Court reputation / very negative publicity	 Likelihood	16	Progress has been delayed following the need to carry out an asbestos survey. 11 Jun 2019	 Likelihood	8	31-Jul-2019	 Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
MAN CCC 002 b	This will flow from the Risk Assessment and Risk Register action Plan being developed.	Working at Height is currently limited to essential tasks where in-house facilities provide sufficient risk mitigation.	Wayne Garrigan	30-Apr-2019	01-Sep-2019
MAN CCC 002 c	CoL H&S Advisor and external Consultant will be providing training to the in-house maintenance team and managers.	Eye-bolt work is due to begin in May 2019.	Wayne Garrigan	30-Apr-2019	30-Jun-2019

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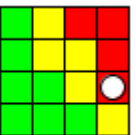


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OSD 005 Pests and Diseases summary risk 30-Aug-2017 Colin Buttery	<p>This risk summaries the pest and disease risks across the Open Spaces Department.</p> <p>Causes: Inadequate biosecurity; purchase or transfer of infected trees, plants, soil and/or animals; 'natural' spread of pests and diseases from neighbouring areas.</p> <p>Event: Sites become infected by animal, plant or tree diseases e.g. Oak Processionary Moth (OPM), foot and mouth, Mass aria, Ash Die Back, <i>Salmonella</i> (DT 191a), Bleeding Canker of Horse Chestnut</p> <p>Impact: Service capability disrupted, public access to sites restricted, animal culls, tree decline, reputational damage, increased cost of monitoring and control of invasive species, risk to human health from OPM or other invasives, loss of key native species, threat to existing conservation status of sites particularly those with woodland habitats.</p> <p>This risk is felt to be of departmental concern due to the potential biodiversity, financial and human health impacts associated with this risk.</p> <p>The actions for this risk are the open actions from each of the divisional risk registers.</p>	 <p>Likelihood</p> <p>Impact</p>	16	Risk upgrade to Red by committee on 8 April 2019 to reflect the increased impact of OPM on our sites. 24 May 2019	 <p>Likelihood</p> <p>Impact</p>	12	30-Apr-2020	 Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
OSD EF 007 a	Implement actions arising from Massaria survey. Survey to be undertaken twice yearly	Ongoing action.	Geoff Sinclair	24-Jun-2019	08-Apr-2020
OSD EF 007 d	Sudden Oak Death - Yearly inspection of all Rhododendron and Larch. Tender of Larch removal (now completed). To be done yearly	The Larch were found to be clear of Ramorum disease and have been felled. Rhododendron regrowth at The Warren Plantation was sprayed off. Sweet Chestnut will still need monitoring in 2019. However, with the bulk of Rhododendron removed and all the Larch felled, the risk of spores spreading in quantity is assessed as low at the moment.	Jeremy Dagley	24-Jun-2019	31-Mar-2020
OSD EF 007 e	Need to develop a biosecurity policy and then implement.	The OPM outbreak is now dealt with under a separate risk action. The general Biosecurity Policy remains to be written for all the biosecurity risks. However, for Ramorum disease a protocol has been prepared and used by contractors during the Larch removal (see other risk actions). Once the OPM season is over, a Biosecurity Position Statement will be prepared	Jeremy Dagley	27-Jun-2019	30-Oct-2020

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		(~December 2019), and this is expected to feed into full biosecurity policy and INNS policy expected 2020.			
OSD EF 008 c	Develop an INNS policy - particular focus on OPM, although other pathogens and areas of concern to be tackled.	Progress made with Ramorum disease control and with INNS strategy for the 2019 season, with arborist officer appointed as lead on OPM matters. Other INNS need to be considered and no recent progress with Policy writing. An INNS position statement will be prepared at the end of the season (expected around December 2019) and this is expected to feed into the full policy (expected 2020). There will also be some horizon scanning carried out to consider likely new pest species.	Jeremy Dagley	27-Jun-2019	01-Jul-2020
OSD NLOS 004 a	Sourcing of plants / trees through approved suppliers. Review six monthly	Tree provenance is considered, the Division will source and use planting stock consistent with best practice guidance. Ongoing action.	Richard Gentry	24-May-2019	30-Apr-2020
OSD NLOS 004 b	Trained arboricultural contractors carrying out spraying of Oak in previously infected areas. Funding secured, although costs may escalate.	An update to the OPM situation was submitted to HHHWQP Committee in March 2019. This continues to be monitored locally and departmentally, with reports submitted as necessary. OPM and other pests have been escalated and are monitored as part of the departmental risk register.	Richard Gentry	24-May-2019	30-Apr-2020
OSD P&G 004 a	Ensure staff training is kept updated to enable timely identification of pest and knowledge of correct treatment/prevention.	Provision of staff training is ongoing. Info on training shared through HSIG, Equalities Board, SLT, and other avenues.	Lucy Murphy; Jake Tibbets	24-May-2019	30-Apr-2020
OSD P&G 004 b	Annual tree inspections undertaken through qualified personnel through framework contract	Last set of tree inspections completed October 2018.	Lucy Murphy; Jake Tibbets	24-May-2019	30-Apr-2020
OSD P&G 004 c	Alerts issued to staff enabling additional checks to be undertaken as part of everyday working practice	Ongoing risk management action.	Martin Rodman	24-May-2019	30-Apr-2020
OSD P&G 004 d	Maintain relationships with industry bodies and neighbouring local authorities to ensure free flow of information.	Ongoing action.	Lucy Murphy; Jake Tibbets	24-May-2019	30-Apr-2020
OSD TC 004 a	Ensure staff training is kept updated to enable timely identification of pest and knowledge of correct treatment/prevention.	Ongoing. Training needs reviewed at regular team meetings, and proactively promoted via HSIG and other corporate boards.	Hadyn Robson	24-Jun-2019	31-Mar-2022
OSD TC 004 b	Annual tree inspections undertaken through qualified personnel	Ongoing.	Hadyn Robson	24-Jun-2019	31-Mar-2022
OSD TC 004 c	Active involvement with leading partners such as Forestry Commission and Natural England	Ongoing.	Hadyn Robson	24-Jun-2019	31-Mar-2022
OSD TC 004 d	Measures in place for staff, volunteers and contractors including public messages	Ongoing.	Hadyn Robson	24-Jun-2019	31-Mar-2022

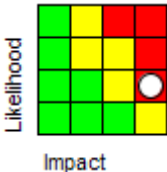
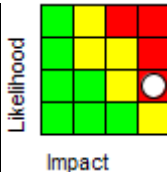

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Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
OSD 007 Maintaining the City's water bodies summary risk 30-Aug-2017 Colin Buttery	This risk summaries the property maintenance risks across the Open Spaces Department. The City is responsible for a number of water bodies, some of which are classified as "Large Raised Reservoirs" under the provisions of the Reservoirs Act 1975 and the Flood & Water Management Act 2010. Failure to adequately manage and maintain the City's reservoirs and dams could result in leaks, dam collapse or breach. For some of the City's large raised reservoirs there is the potential for loss of life, damage to property and infrastructure in the event of dam collapse or breach, and the associated reputational damage. This risk is felt to be of departmental concern due to potential for serious consequences, the possibility of legislative change and the possibility that significant capital projects could be required. The actions for this risk are the open actions from each of the divisional risk registers.	 Likelihood	16 Impact	Assessed by Senior Leadership Team. Risk remains at red. Details of work being undertaken is covered under the divisional risk registers. 24 May 2019	 Likelihood	8 Impact	31-Mar-2022	 Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
OSD EF 004 a	Statutory inspection visits by engineer - 6 monthly in May and October	Inspections completed in January. Several mitigation works have been completed. Discussion of Wanstead Park Lakes was undertaken. Large scale works still need to be undertaken to reduce this risk.	Martin Newnham; Geoff Sinclair	24-Jun-2019	30-Apr-2020
OSD EF 004 b	Complete works on the Eagle ponds and obtain approval for distribution of responsibilities. Survey the outward toe of the dam pending decision on shared responsibility with London Borough of Redbridge	Ongoing action - no significant developments since previous update.	Geoff Sinclair	24-Jun-2019	31-Dec-2022
OSD EF 004 c	Weekly inspection of reservoirs / dam. Review the use of penstock gates	Ongoing action.	Martin Newnham	24-Jun-2019	08-Apr-2020
OSD EF 004 e	Undertake scoping evaluations for Baldwins Pond and Birch Hall Park Pond	Ongoing action. Still awaiting further work by DBE.	Geoff Sinclair	24-Jun-2019	31-Dec-2022

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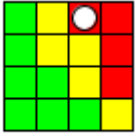
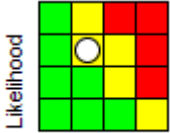

OSD NLOS 007 a	Training for lifeguards Training is ongoing activity Review annually	Ongoing -Training needs and requirements are identified in staff performance reviews and 1:1 meetings throughout the year.	Richard Gentry	01-May-2019	31-Mar-2020
OSD TC 006 a	Condition assessments carried out and options provided for approval Options costed Gateway 4 report drafted - Sept 16	Project at The Commons remains a goal for the future. Ongoing action to mitigate risk, to be updated as project moves forward.	Hadyn Robson	24-Jun-2019	31-Dec-2022
OSD TC 006 b	Inspections / monitoring of outflow condition Ongoing	All water bodies are actively monitored by relevant authorities within the City to ensure they comply with legislation.	Hadyn Robson	24-Jun-2019	31-Mar-2020

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
SUR CB 003 City Bridges: - Substantial vessel strikes 01-Nov-2018 Paul Wilkinson	Cause: Substantial Vessel strike Event: Structural damage to bridge Impact: Instability in bridge structure leading to possible collapse. Death / injury, disruption of traffic, reputational damage, additional costs to repair / replace		16	City Bridge Trust and Bridge House Estates are undertaking a governance review through an officer Task and Finish Group. The aspect of where risk ownership sits will be considered by this group in 2019. In the interim further discussions have taken place to put in place a Service Agreement between DBE and CSD to better manage responsibilities and information flow. 14 May 2019		16	31-Mar-2020	 Constant

Action no	Action description	Latest Note			Action owner	Latest Note Date	Due Date
SUR CB 003a	Navigation controls	Navigation is controlled by the Port of London Authority and navigation lights fixed to bridges. Paul Monaghan is an on-going member of the River Crossings Liaison Group and is currently pursuing them for further meeting dates following their change in Chair. Paul			Nicholas Gill; Peter Young	23-May-2019	31-Mar-2020

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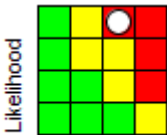
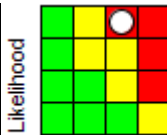

		Monaghan updates CSD at the quarterly meetings as to any actions, activities, or change in the risk status of this item.			
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Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
SUR CB 006 City Bridges: - Wanton Damage / Terrorism 01-Nov-2018 Paul Wilkinson	Cause: Wanton Damage / Terrorism Event: Structural damage to bridge/s Impact: Instability in bridge structure , reputational damage, disruption to traffic, additional costs to repair / replace	 Likelihood Impact	16	<p>The Comptroller and City Solicitor has registered the City of London as an interested party for the inquiry into the London Bridge incident. The organisation has been supporting this by providing correspondence as required.</p> <p>City Bridge Trust and Bridge House Estates are undertaking a governance review through an officer Task and Finish Group. The aspect of where risk ownership sits will be considered by this group in 2019.</p> <p>In the interim further discussions have taken place to put in place a Service Agreement between DBE and CSD to better manage responsibilities and information flow.</p> <p>14 May 2019</p>	 Likelihood Impact	6	31-Mar-2020	 Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
SUR CB 006a	Counter Terrorism	Sequence of joint workshops were hosted by TFL over July. This involved TFL, the City Police, the MET Police and DBE. This investigated the risks associated with key bridges, with a different assessment made depending on the bridge. MET Police have yet to provide	Nicholas Gill; Paul Monaghan;	23-May-2019	31-Mar-2020

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		paperwork and are awaiting clearance to do so – will be assessed once received.. Temporary mitigation by the Metropolitan Police remains in place, but this is not full Hostile Vehicle Mitigation.	Peter Young		
SUR CB 006b	Policing	The Bridge House Estate pay additional precept to the City of London Police to provide policing to the bridges.	Nicholas Gill; Paul Monaghan; Peter Young	23-May-2019	31-Mar-2020

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
SUR CB 007 City Bridges: - Tunnelling for the Thames Tideway Tunnel 01-Nov-2018 Paul Wilkinson	Cause: Tunnelling for the Thames Tideway Tunnel effects bridge structures Event: Bridge/s become inoperable or have reduced operability Impact: Closure, reputational damage, disruption to traffic, additional costs to repair / replace	 Likelihood Impact	16	<p>The tunnelling is expected to conclude in 2019, although currently the tunnelling is slightly behind schedule. It is expected that this risk, should it not materialise, will diminish significantly from this time. Works are on-going presently (May 2019) near Blackfriars Bridge.</p> <p>City Bridge Trust and Bridge House Estates are undertaking a governance review through an officer Task and Finish Group. The aspect of where risk ownership sits will be considered by this group in 2019.</p> <p>In the interim further discussions have taken place to put in place a Service Agreement between DBE and CSD to better manage responsibilities and information flow</p> <p>20 May 2019</p>	 Likelihood Impact	16	31-Mar-2021	 Constant

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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
SUR CB 007d	Tideway Tunnelling	The City's Engineer, Paul Monaghan is working with the Comptroller and City Solicitor through the Development Consent Order and negotiated protection for the river crossings and, in the case of Tower Bridge, reduced face loss. He and the engineering team are working with the planning lead, Ted Rayment, to ensure that these requirements are being met. Regular weekly, monthly and quarterly meetings take place with the respective parts of TTT. For support they have the existing commission with an external engineering consultant appointed for the inspection of river crossings.	Nicholas Gill; Peter Young	23-May-2019	31-Mar-2021
SUR CB 007e	Monitoring & Works	Monitoring is on-going on the bridge sites to ensure that risks are managed. Monitoring at Tower Bridge has been in place for circa three years. Millennium Bridge has had its tensioning adjusted to account for any movement.	Nicholas Gill; Paul Monaghan; Peter Young	23-May-2019	31-Mar-2021